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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of :
Manabu OUMI et al. :
Serial No. 09/462,437 : Group Art Unit - 2653
Filed: May 16, 2000 : Examiner - Kimlien T. Le
For: NEAR-FIELD OPTICAL HEAD : Docket No. S004-3848 (PCT)

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**INFORMATION DISCLOSURE STATEMENT
UNDER RULES 97 AND 98**

S I R:

This information disclosure statement is being filed pursuant to 37 CFR §1.97(d) and is being filed after the mailing date of either a final action under 37 CFR §1.113 or a notice of allowance under 37 CFR §1.311, but before payment of the issue fee. As required by 37 CFR §1.97(d), this information disclosure statement is accompanied by the following:

- (1) A check in the amount of \$180.00 to defray the required fee set forth in 37 CFR §1.17(p); and
- (2) The following 37 CFR §1.97(e) certification:

04/23/2004 NBERHE 00000057 09462437

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**MAILING CERTIFICATE ON
LAST PAGE**

Rule 97(e) Certification

Applicants' undersigned attorney, pursuant to 37 CFR §1.97(e), hereby certifies that each item of information disclosed herein was cited in a foreign Search Report in applicants' counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Information Disclosure Statement

Pursuant to 37 CFR §1.98, a list of patents, publications or other information submitted for consideration by the PTO is provided on annexed Form PTO-1449. A legible copy of each item of information listed on the Form is attached thereto and submitted herewith. Also submitted is a copy of the foreign Search Report which cited each item of information.

As to each item of information, if any, which is not in the English language, a concise explanation of the relevance thereof pursuant to 37 CFR §1.98(a)(3) is given in the Search Report which cited the information.

Consideration of this information disclosure
statement is respectfully requested.

Respectfully submitted,

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By: 

Bruce L. Adams
Reg. No. 25,386

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: MS DD, COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Michael Ruas

Name



Signature

APRIL 19, 2004

Date